



Production Audit Report

Presented to

Lumi Legend Corporation

慈溪市普锐金属制品有限公司

Gold Supplier & Audited Company Relationship	<input type="checkbox"/> Wholly Owned <input type="checkbox"/> Shareholder/Partner <input checked="" type="checkbox"/> Capacity Contract
Company Address:	Industrial Park, Tianyang Village, Longshan Town, Cixi City, Zhejiang Province, China
City / Country:	Cixi/ China
Consigner of Audit Report:	Alibaba
Gold Supplier Member ID:	cnlumi
Gold Supplier Company Name:	Lumi Legend Corporation
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Service provided by Intertek
Report No.: 201101140005074_1-P



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Date of Audit:	21 st Feb., 2011	Report Date:	21 st Feb., 2011
Audited By:	Bruce Wu	Validity Period:	22 nd Feb., 2011-21 st Feb., 2012
Reviewed By:	Lee Ren	Online Report:	www.intertek sha.com/certification

Important Notes:

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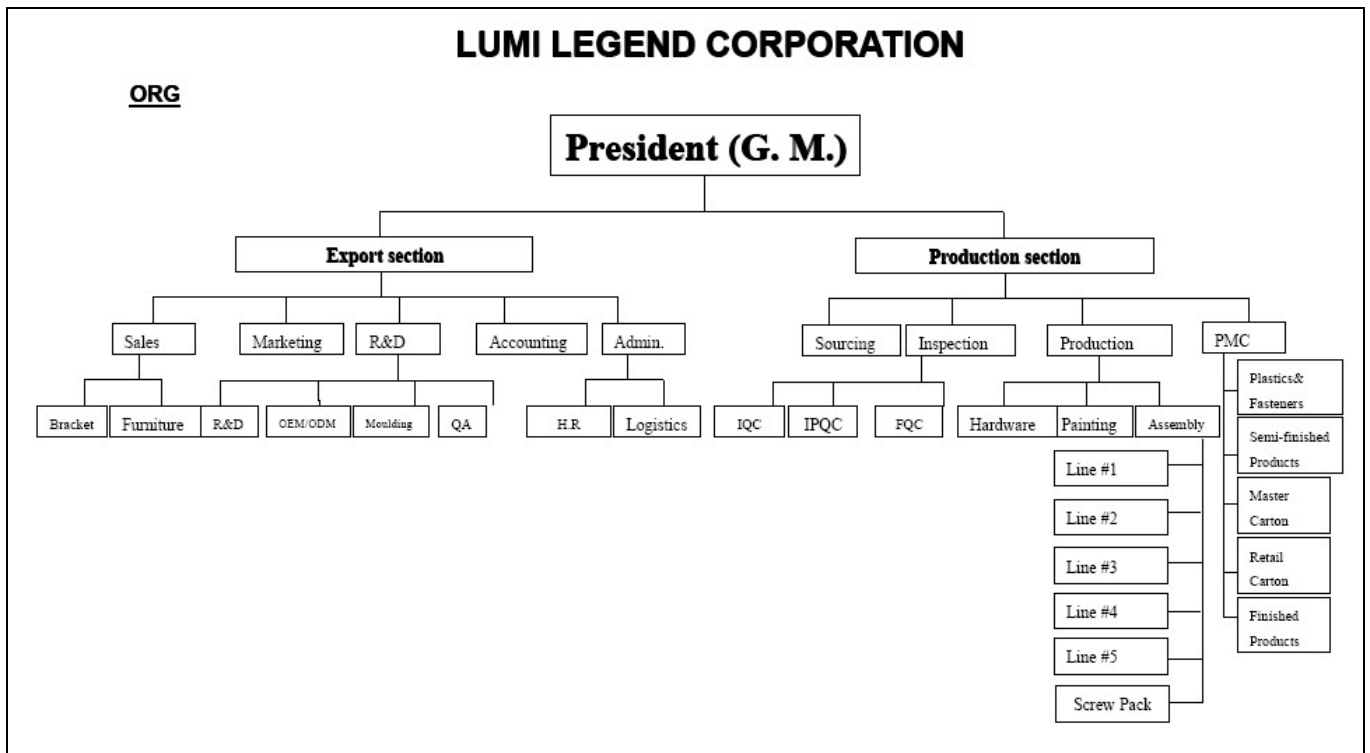
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Section 1: Company Overview

Company Overview			
1.1 Legal Validity			
Does the company have a valid business license?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Business License Number:	330282000033568
Year Established:	26 th Feb., 2008	Validity Period:	26 th Feb., 2008-25 th Feb., 2058
Registered Address:	Industrial Park, Tianyang Village, Longshan Town, Cixi City, Zhejiang Province, China		
Company Address:	Industrial Park, Tianyang Village, Longshan Town, Cixi City, Zhejiang Province, China		
Annual review conducted by the Industrial & Commercial Bureau?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Reviewed By:	Industrial and Commercial Bureau of Ningbo, Cixi Branch
Registered Capital:	RMB 1,800,000		
Corporate Representative:	Huting Lin		
Industry:	Metalwork		
Business Type:	<input checked="" type="checkbox"/> Manufacturer <input type="checkbox"/> Trading Company <input type="checkbox"/> Combined		
Type of Ownership:	<input checked="" type="checkbox"/> Private Owner <input type="checkbox"/> Public Company <input type="checkbox"/> Joint Venture <input type="checkbox"/> Stated Owned <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Other		
Products Manufactured:	LCD TV Brackets		
1.2 Company Building Information			
Certification Type: <input checked="" type="checkbox"/> Land Certification <input type="checkbox"/> Real Estate Certification <input type="checkbox"/> Lease Agreement+ Actually Observed <input type="checkbox"/> Factory Officer Claimed			
Total Building Size (m2): <u>15281</u>			
Number of Building(s) : <u>3</u>			

Section 2: Human Resources

Human Resources	
2.1 Company Chart	



2.2 Employee Headcount

Department Name	Full Time Employee(s)	Part-Time Employee(s)	Total
General Manager	1	0	1
Sales Department	27	0	27
Marketing Department	7	0	7
R & D	22	0	22
Accounting Department	5	0	5
Administration Department	7	0	7
Sourcing Department	3	0	3
Inspection Department	7	0	7
Production Department	139.5	0	139.5
PMC	7.5	0	7.5
Total Number:	226	0	226

2.3 Management

Department	Name	Title	Education	Year(s) in Current Company
General Manager	Huting Lin	General Manager	Graduate	2
Sales Department	Chunky Wang	Manager	Graduate	2

Marketing Department	Eric Xia	Manager	Graduate	2
R & D	Bin Cai	Manager	Graduate	2
Accounting Department	Zhuxiang You	Manager	High School	2
Administration Department	Jiancheng Gong	Manager	Junior College	2
Sourcing Department	Chukai Hua	Supervisor	High School	2
Inspection Department	Kai Zhang	Supervisor	Junior College	1
Production Department	Xingbi He	Supervisor	Junior College	1
PMC	Xingbi He	Supervisor	Junior College	1

Section 3: Production Capacity

Production Capacity				
3.1 Annual Production Capacity (Previous Year)				
3,600,000 pieces				
3.2 Production Capacity				
Product Name	Production Line Capacity	Actual Units Produced (Previous Year)		
LCD TV Brackets	3,720,000 pieces	3,600,000 pieces		
3.3 Production Machinery				
Machine Name	Brand & Model No.	Quantity	Number of Year(s) Used	Condition
Punching Machine	JC21-125, JC21-160	30	2	Good
Bending Machine	ZW-02	2	4	Good
Hydraulic Machine	YL32	5	1	Good
Shearing Machine	QC12Y	2	1	Good
Stitch Welding Machine	DH	3	1	Good
Fully Automatic Powder Coating Facility	NA	2	1	Good
Automatic Assembling Lines	NA	6	1	Good
3.4 Testing Machinery				
Machine Name	Brand & Model No.	Quantity	Number of Year(s) Used	Condition
Load Test Wall	NA	3	1	Good

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Gradient Level Test Workbench	NA	2	1	Good
Digital Angle Measuring Device	NA	1	1	Good
3.5 Subcontractors				
Subcontractor Name	Product Subcontracted	Volume Supplied	Cooperation Period (Years)	
None				

Section 4: Quality Assurance

Quality Management System and Product Certification				
4.1 Quality Management System Certification				
Certification	Certified By	Certificate No.	Business Scope	Expiry Date
None				
4.2 Product Certification				
Certification	Certified By	Certificate No.	Product Name & Model No.	Expiry Date
GS	TUV	S 50193562	LCD/Plasma Wall Bracket & LPA13	25 th Jan., 2016
GS	TUV	S 50104058	LCD/Plasma Wall Bracket & PLB	4 th Apr., 2012
GS	TUV	S 50173062	LCD/Plasma Wall Bracket & PLB	4 th Feb., 2015
GS	TUV	S 50170540	LCD Wall Mount & LCD	25 th Mar., 2015
GS	TUV	S 50074179	LCD/Plasma Wall Bracket & LED	20 th Sep., 2015
UL	Underwrite Laboratories Inc.	CZUV.E337441	Articulating arm flat panel TV mounts & EAT, LED wall mounts & LED, TV wall mounts & ELF	/
UL	Underwrite Laboratories Inc.	CZUV7.E337441	Articulating arm flat panel TV mounts & EAT, LED wall mounts & LED, TV wall mounts & ELF	/
4.3 Quality Control Management				
	Content		Observations /Comments	

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4.3.1	Is there quality control on all production lines?	<input checked="" type="checkbox"/> Yes, all production lines have adequate quality control <input type="checkbox"/> Yes, some production lines have adequate quality control <input type="checkbox"/> No
4.3.2	Do the QA/QC inspectors work independently from the production line?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
4.3.3	Who does the QC/QA Manager /Supervisor report to?	Huting Lin
4.3.4	How many QA/QC inspectors in total?	7

No. of Employees in Each Production Line:

Production Line	Supervisor	No. of Operators	No. of In-line QC/QA
Stamping	2	48	2
Spray Model	2	28	1
Assembly	5	55	2

4.4 Supplier Management

	Content	Observations /Comments
4.4.1	Does the company have an supplier assessment procedure?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.4.2	Does the company have an updated list of approved suppliers?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.4.3	Does the company establish and implement a standard procedure for purchasing contract review and approval?	<input type="checkbox"/> Yes, with written standard procedures <input type="checkbox"/> Yes, with written procedure but lack of consistent standard <input checked="" type="checkbox"/> No
4.4.4	Does the company keep its Supplier Assessment Reports?	<input type="checkbox"/> Yes, Assessment Reports are available for more than 3 years <input type="checkbox"/> Yes, Assessment Reports are available for the last 1-3 years <input checked="" type="checkbox"/> Yes, Assessment Reports are available for the previous 12 months <input type="checkbox"/> No
4.4.5	Are the company's purchasing documents sufficient to ensure product safety control and their customers' requirements?	<input type="checkbox"/> Yes, the purchasing document includes all the information required <input type="checkbox"/> Yes, however the purchasing document includes incomplete information <input checked="" type="checkbox"/> No

4.4.6	Is there a procedure to conduct random product inspections after final packaging?	<input checked="" type="checkbox"/> Yes, with clear standard and written inspection records <input type="checkbox"/> Yes, with inspection records but no procedures <input type="checkbox"/> Yes, with procedures but no inspection records <input type="checkbox"/> No, inspections are not necessary
4.5 After Sales Service		
	Content	Observations /Comments
4.5.1	Is customer feedback, including complaints, clearly recorded and maintained?	<input checked="" type="checkbox"/> Yes, with a standard feedback form and records <input type="checkbox"/> Yes, with a standard feedback form but no records <input type="checkbox"/> Yes, with records but no standard feedback form <input type="checkbox"/> No
4.5.2	Are there any clear procedures for handling customer complaints?	<input checked="" type="checkbox"/> Yes, with clear procedures and written records <input type="checkbox"/> Yes, with clear procedures but no written records <input type="checkbox"/> Yes, with written records but no clear procedures <input type="checkbox"/> No
4.5.3	Is there a closed-loop corrective action system in place?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.5.4	Can finished/package products be traced by lot identification to the appropriate raw material test reports?	<input type="checkbox"/> Yes, with procedures to trace raw materials <input checked="" type="checkbox"/> Yes, main raw material can be traced <input type="checkbox"/> No, only the production date can be traced <input type="checkbox"/> No
4.5.5	Is there a product alert and recall procedure?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Section 5: Production Process Management

Section 5: Quality Control Management		
	Content	Observations /Comments
5.1	Is the factory tidy and clean enough for production?	<input type="checkbox"/> Yes, very good <input checked="" type="checkbox"/> Yes, acceptable <input type="checkbox"/> No, needs improvement <input type="checkbox"/> No, very poor
5.2	Are the following items /documents provided at the appropriate location?	<input type="checkbox"/> Work Instructions <input type="checkbox"/> Approved sample <input type="checkbox"/> Product picture <input checked="" type="checkbox"/> No, the above mentioned was not available

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5.3	Are written instructions available for onsite material inspection/testing? Are the relevant records maintained?	<input checked="" type="checkbox"/> Yes, with clear written instructions and records <input type="checkbox"/> Yes, with written instructions but no records <input type="checkbox"/> Yes, with records but no written instructions <input type="checkbox"/> No
5.4	Are written inspection/testing instructions available for finished products? Are the relevant records maintained?	<input checked="" type="checkbox"/> Yes, with clear written instructions and records <input type="checkbox"/> Yes, with written instructions but no records <input type="checkbox"/> Yes, with records but no written instructions <input type="checkbox"/> No
5.5	How are finished products inspected?	<input type="checkbox"/> 100% of products with detailed inspection <input checked="" type="checkbox"/> Random inspection <input type="checkbox"/> No inspection <input type="checkbox"/> No inspection necessary
5.6	Are units that failed inspection clearly marked and separated to prevent accidental dispatch?	<input checked="" type="checkbox"/> Yes, the units are separated and marked clearly <input type="checkbox"/> Yes, the units are separated but not marked clearly <input type="checkbox"/> No
5.7	How are finished products that failed inspection handled?	<input checked="" type="checkbox"/> Repaired and re-inspected <input type="checkbox"/> Thrown away <input type="checkbox"/> No inspection necessary

Section 6: R & D Capacity

R&D Capacity				
6.1 Current Situation				
There is/are <u>22</u> R&D Engineer(s) in the company.				
Education Level	Headcount		Work Experience	Headcount
Doctorate	0		Over 30 Years	0
Post-Graduate	0		21-30 Years	0
Graduate	7		11-20 Years	2
Junior College	12		6-10 Years	14
Technical School	3		2-5 Years	6
High School	0		Less than 2 years	0
List of successful project(s) (Example: Patented products):			None	
Does the company have its own brand?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If yes, please specify:			None	

Does the company provide ODM service for others?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Are there relevant design input/output, review, and verification documents available for the assessment company?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Based on inspection, are R & D employees equipped with adequate specialized equipment?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If yes, please list all key equipment used:	None
Do R& D employees use any specific software for designing new products?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
If yes, please list the main software used:	Pro/E, AutoCAD
Please list all certifications and/or qualifications of the R & D department:	None

Section 7: Company Development / Expansion Plans

Company Development / Expansion Plans		
	Company Development Action	Timeframe
1	The total employees would increase to 400.	To the end of 2013

Section 8: Production Flow

Production Flow					
No	Production Process	No	Production Process	No	Production Process
1	 Punching Machine	2	 Bending Machine	3	 Hydraulic Machine
4	 Shearing Machine	5	 Stitch Welding Machine	6	 Fully Automatic Powder Coating Facility
7	 Assembly	8	 Automatic Assembling Lines	9	

Section 9: Certification & Photos

Certification	
Business License(Original) 	Business License(Duplicate) 
Nation Tax Registration Certificate(Original) 	Nation Tax Registration Certificate(Duplicate) 
	Local Tax Registration Certificate(Duplicate) 



Organization Code Certificate



Permit for Opening Bank Account



Land Certification



Land Certification



GS

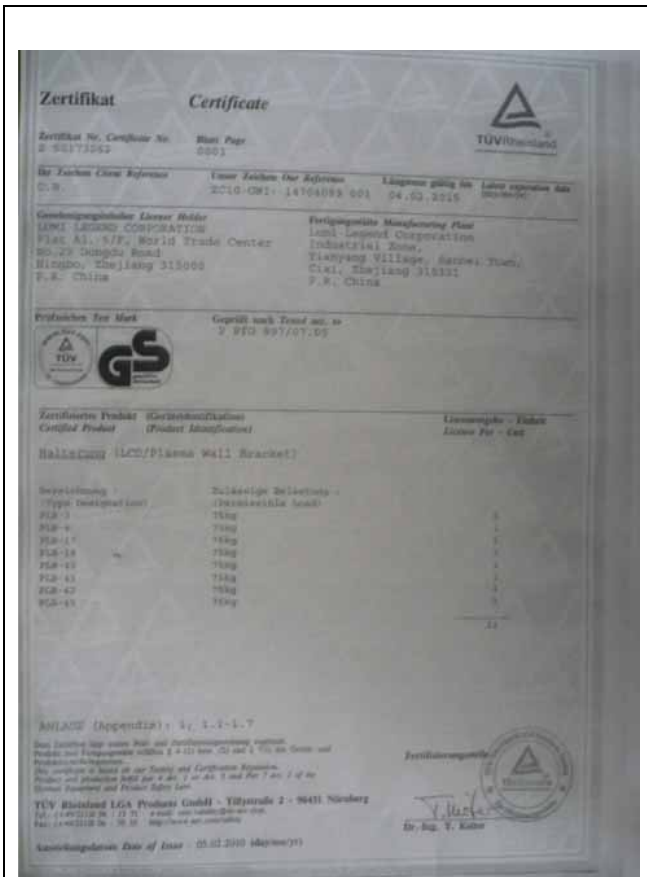


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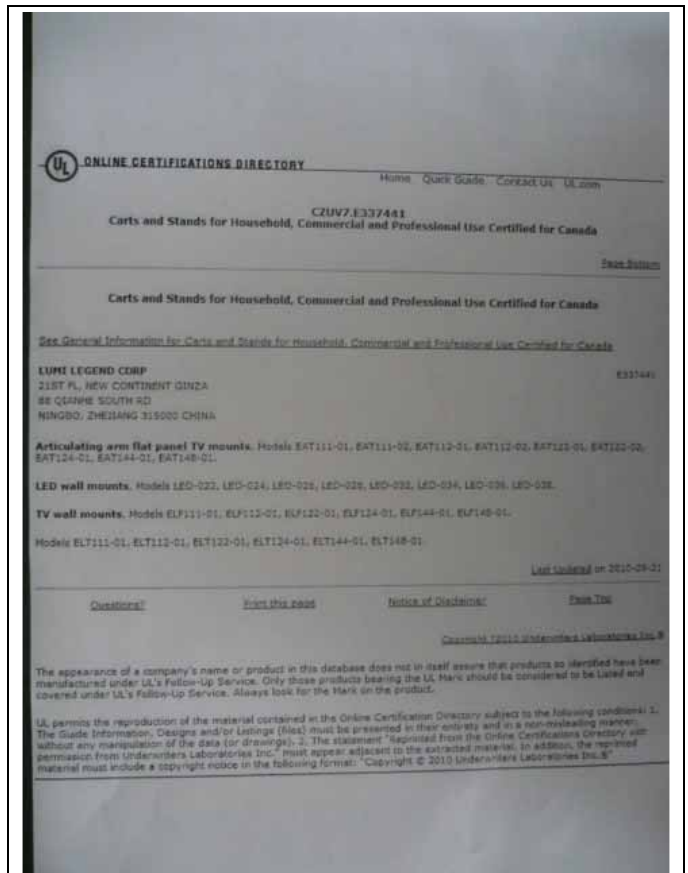
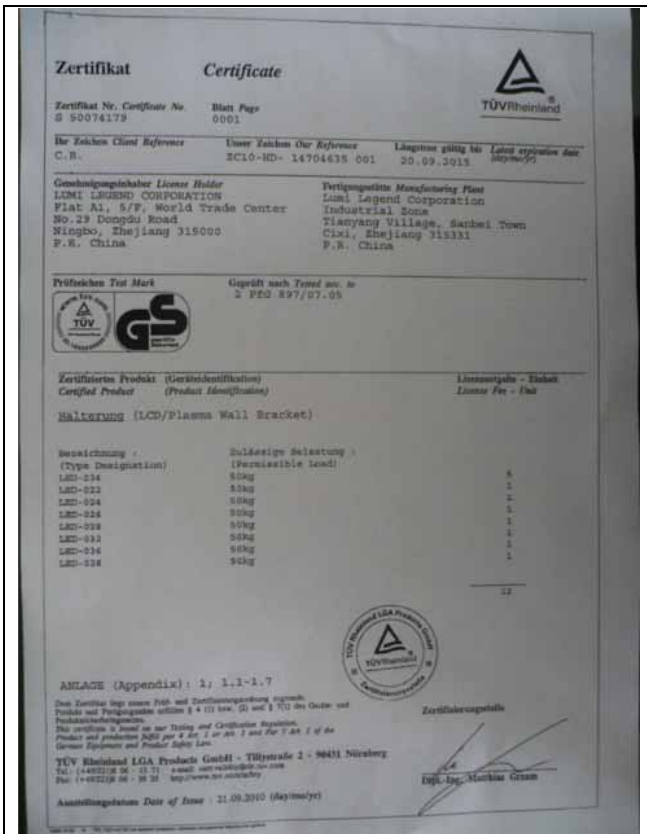


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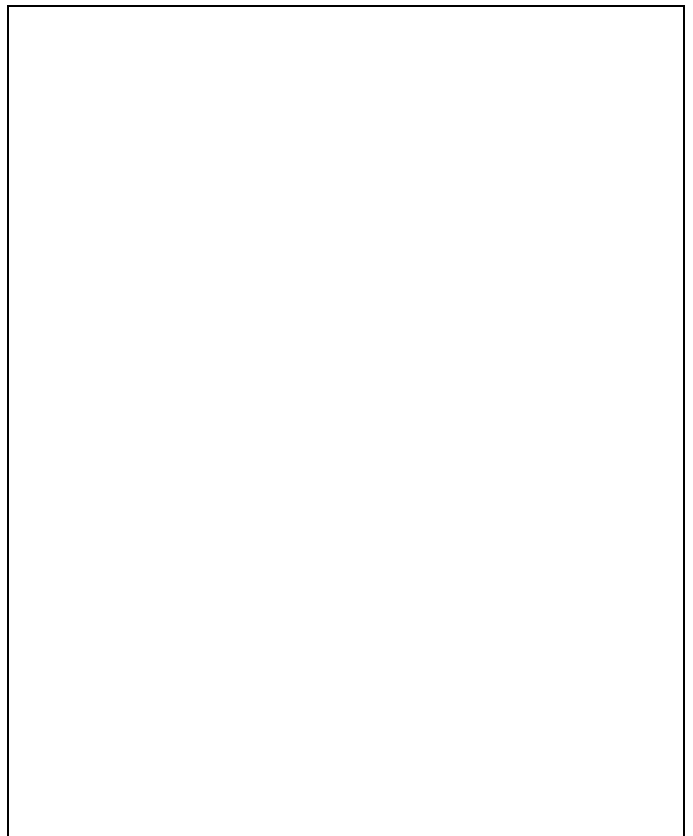
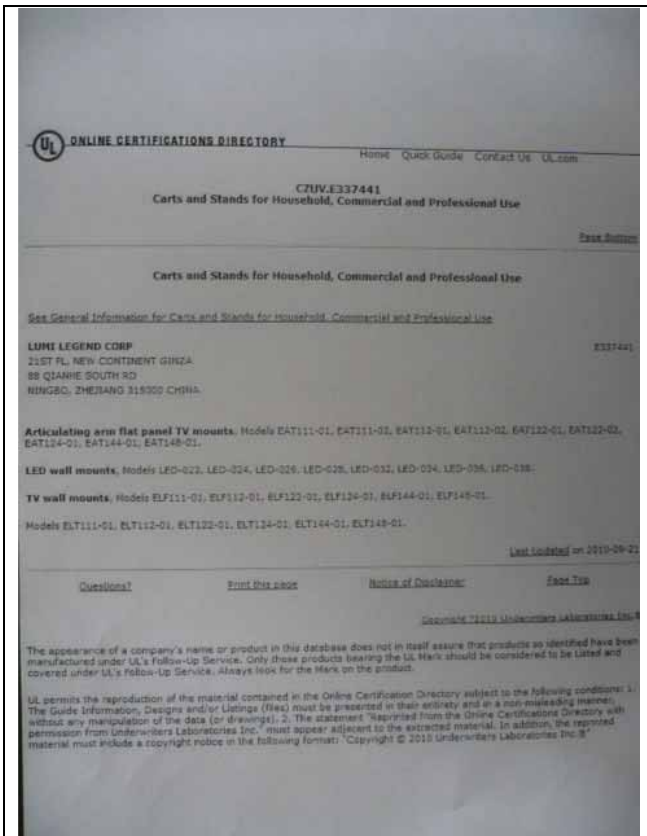
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Section 10: Company and Product Samples

Company and Product Samples	
<p>Company Gate</p> 	<p>Office</p> 
	<p>Workshop</p>

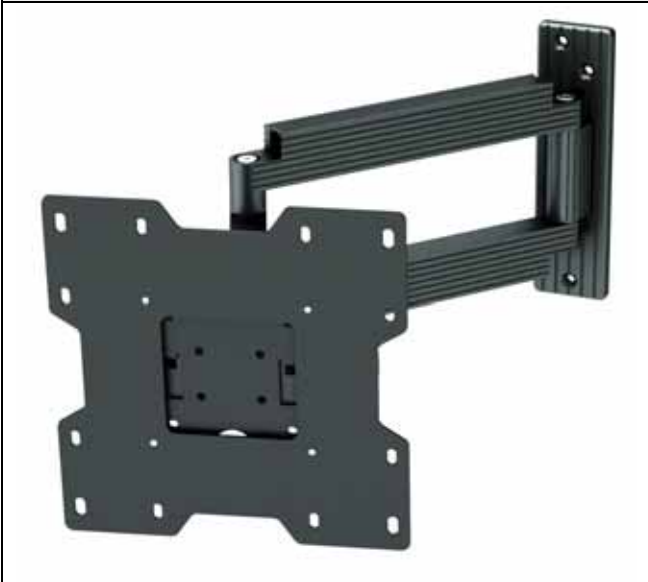
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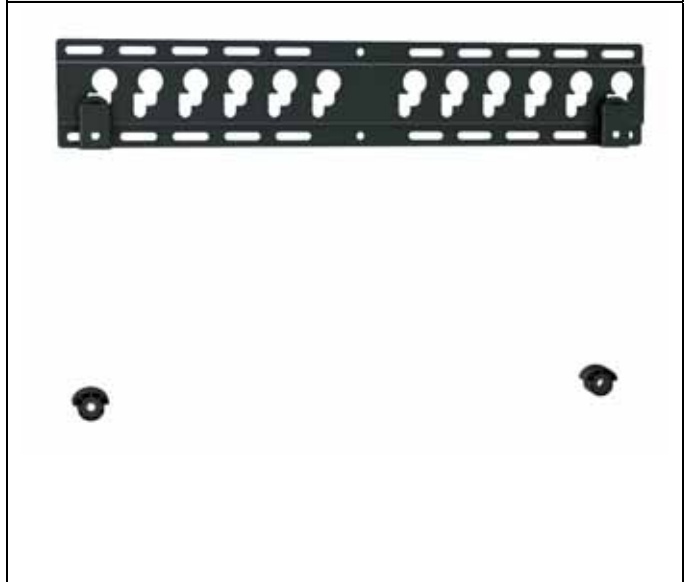
Product Sample



Product Sample



Product Sample



Product Sample



Product Sample



Product Sample



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